

Secretary of the State of Connecticut
State Board of Accountancy
May 03, 2012

Chairman Thomas F. Reynolds called the meeting to order at 08:32 A.M. in the office of the Secretary of the State- State Board of Accountancy, 30 Trinity Street, Hartford, CT 06106.

Present:

Thomas F. Reynolds, CPA, Chairman
James S. Ciarcia
Philip J. DeCaprio, Jr., CPA/ABV/CFF, Cr.FA, CVA
Richard H. Gesseck, CPA
Leonard M. Romaniello, Jr., CPA, CFE, CFF, CITP, MST
Richard L. Sturdevant
Martha S. Triplett, Esq.

Staff Members Present:

James F. Spallone, Deputy Secretary of the State
Diane Steir, Interim Manager
Tonya Allen, Esq.
Angel D. Acevedo, Office Assistant
Stephanie Sheff, Processing Technician

Board Members Absent:

Lee R. Schlesinger
Michael Weinschel, CPA

Also in attendance were Ms. Dawn W. Brolin & Mr. Richard A. Yuris to address the Board on their requests.

A motion was made by Richard Sturdevant and seconded by Richard Gesseck to approve the Minutes of the March 23, 2012 Board Meeting. All voted in favor; no votes against and no abstentions.

In the Manager's Operations Update and Review, Ms. Diane Steir, informed the Board:

- Board Staff continuing to work on new E-licensing Database;
- Legislation on Firm Ownership, sponsored by the Board will be discussed in more detail with Deputy Spallone.

The Board reviewed and noted, for the record, CPA Certificate Registration, CPA License & Firm Permit Applications approved by the Interim Manager March 23, 2012 – May 03, 2012.

The Board noted, for the record, new PCAOB Inspection Reports received:

- Grant Thornton, LLP; Review Date: April 24, 2012;
- Mahoney Sabol & Company, LLP; Review Date: April 24, 2012;
- Marks Paneth & Shron, LLP; Review Date: April 24, 2012.

A motion was made by Philip DeCaprio and seconded by Martha Triplett to approve the non-public experience submitted by Ms. Laura Ranzinger for a Reciprocal Certificate & Certificate Registration application. All voted in favor; no votes against and no abstentions.

A motion was made by Philip DeCaprio and seconded by Leonard Romaniello to approve the non-public experience submitted by Mr. Donald W. Daniels, Jr. for an Initial Certificate & Certificate Registration application. All voted in favor; no votes against and no abstentions.

A motion was made by Philip DeCaprio and seconded by Leonard Romaniello to approve the non-public experience submitted by Ms. Noelene Cervin for an Initial Certificate & Certificate Registration application. All voted in favor; no votes against and no abstentions.

A motion was made by Philip DeCaprio and seconded by Leonard Romaniello to table the non-public experience submitted by Ms. Alice N. Ogba for a Reciprocal Certificate & Certificate Registration application. All voted in favor; no votes against and no abstentions.

A motion was made by Leonard Romaniello and seconded by Philip DeCaprio to table the non-public experience submitted by Ms. Amanda L. Garthwaite for an Initial Certificate & Initial CPA License application. All voted in favor; no votes against and no abstentions.

A motion was made by Leonard Romaniello and seconded by Philip DeCaprio to table the application for an Initial CPA Certificate & Initial CPA License submitted by Ms. Dawn W. Brolin. All voted in favor; no votes against and no abstentions.

Ms. Brolin addressed the Board and described the nature of her experience. There were several inconsistencies in the Experience Verification Form (SBA12), alleged by Ms. Brolin, submitted by her former employer Ms. Kim E. Wawrzynowicz of Premier Business Services PC. There were also several statements made under the section titled “Content of Experience,” that the Board needs clarification before moving forward with Ms. Brolin’s application.

Ms. Tonya Allen suggested the best course of action would be to send a letter to Ms. Wawrzynowicz and allow her the thirty day window to respond to Ms. Brolin’s claims that the form was filled out incorrectly.

Martha Triplett would like Ms. Wawrzynowicz summoned to appear before the Board for a hearing to be scheduled at a later date, allowing Ms. Brolin’s Attorney an opportunity to cross-examine her, if appropriate. Also, Ms. Brolin will need to submit evidence to dispute the claims/comments made on the SBA12- CPA Experience Verification Application.

Ms. Allen would like to have Ms. Wawrzynowicz respond to the comments she made on the SBA12; asserting we won’t know the full scope and nature of this situation until Ms. Kim E. Wawrzynowicz is allowed to respond.

A motion was made by Philip DeCaprio and seconded by James Ciarcia to investigate the nature of statements made by Ms. Kim E. Wawrzynowicz on the SBA 12 form. All voted in favor; no votes against and no abstentions.

Under Regulatory Activity, a motion was made by Richard Gesseck and seconded by James Ciarcia to nominate Mr. Michael Weinschel as Director-At-Large at NASBA. All voted in favor; no votes against and no abstentions.

Diane Steir will check with the Business Manager at the Secretary of State to check if there were any funds set aside for out-of-state travel for members of the Board. NASBA's Regional Meeting will be held in Philadelphia, June 13-15, 2012. Several Board have expressed interest in attending if their schedules permit.

Deputy Spallone informed the Board that House Bill # 5025 is on the House Calendar and ready to be voted on; however, he did mention that there is always a slight chance that it may not be called during the current Legislative Session.

Under Other Business- A motion was made by James Ciarcia and seconded by Leonard Romaniello to approve Mr. Richard A. Yuris' application for an Initial CPA Certificate & CPA Certificate Registration. All voted in favor; no votes against and no abstentions.

Under Public Comment- Mr. Art Renner, CT CSCP Executive Director, addressed the Board.

- Mentioned CSCP Annual Meeting being held on May 14, 2012;
- June Meeting at the Aqua Turf;
- Introduced Ms. Camille Murphy, President Elect- In two weeks.

Mr. Greg Lainas, also addressed the Board briefly.

A motion was made by Philip DeCaprio and seconded by Martha Triplett to enter into Executive Session at 10:48 A.M. with invitation extended to Deputy Spallone, Ms. Diane Steir, and Tonya Allen. All voted in favor; no votes against and no abstentions.

The Board returned to Public Session at 11:38 A.M.

A motion was made by James Ciarcia and seconded by Philip DeCaprio to move dismissal of enforcement case # 2010057-3407, against Mr. Alexander Fedele- as there was insufficient information to proceed; enforcement case # 2011039-3656, against Mr. Robert Trimboli- as matter was settled between both parties. All voted in favor; no votes against and no abstentions.

A motion was made by Philip DeCaprio and seconded by James Ciarcia to adjourn the May 03, 2012 Board Meeting of the Connecticut State Board of Accountancy at 11:39 A.M. All voted in favor; no votes against and no abstentions.